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# AMENDMENT TRANSMITTAL **PATENT** Application No.: <u>09/707,417</u> Filing Date: 11/06/2000 First Named Inventor Vance C. Bjorn Examiner's Name: Aravind K. Moorthy Art Unit: 2131 Attorney Docket No.: 3022.P019X An Amendment After Final Action (37 C.F.R. §1.116) is attached and applicant(s) request expedited action. Charge any fee not covered by any check submitted to Deposit Account No. 02-2666. Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. §§1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666. Applicant(s) claim small entity status (37 C.F.R. §1.27). **ATTACHMENTS Preliminary Amendment** Amendment/Response with respect to Office Action Х Amendment/Response After Final Action (37 C.F.R. §1.116) (reminder: consider filing a Notice of Appeal) Notice of Appeal RCE (Request for Continued Examination) Supplemental Declaration Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record) Information Disclosure Statement (IDS) Х Copies of IDS citations Petition for Extension of Time Fee Transmittal Document (that includes a fee calculation based on the type and number of claims) Cross-Reference to Related Application(s) Certified Copy of Priority Document Other: Other: Χ Check Χ Postcard (Return Receipt) SUBMITTED BY: **BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP** TYPED OR PRINTED NAME: Benjamin A. Kimes SIGNATURE:. REG. NO.: 50,870 107 DATE: ADDRESS: 1279 Oakmead Parkway Sunnyvale CA 94085-4040 TELEPHONE NO .: (408) 720-8300 CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450 09/11/2007 Date of Deposit Name of Person Mailing Correspondence 202

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# FIRST CLASS CERTIFICATE OF MAILING

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Judy L. Steinkraus

Application No.: 09/707.417 Filing/Issue Date: 1	1/06/2000 <b>Docket No.:</b> 3022.P019X
Application recipies	3/2007
Date manet	Atty/Sec: JAS BAK jxs
Client: Digital Persona	UDD DARTY AUTHENTICATION SERVER
Client: Digital Persona Title: A METHOD AND APPARATUS FOR USING A TI	AIRD PARTY AUTHENTION OF THE
er valuered Improméne Vancé C. Biorn	•
The following has been received in the U.S.P.T.O. on the	e date stamped hereon.
Transmittal Letters & Certificate of Mailing	Petitions & Appeals
☐ Transmittal Letter	Petition for Extension of Time:
Fee Transmittal (original & copy)	☐ Notice of Appeal
RCE (Request for Continued Examination)	Appeal Brief (pgs. each)
Transmittal of Formal Drawings	Reply Brief ( pgs.)
Issue Fee Transmittal (original & copy)	Other
Issue Fee transmittal (original a copy)	☐ Information Disclosure Statement & PTO/SB/08
Certificate of Mailing	( 3 pgs.) (previously 1449)
Express Mail No.: «Expressmail»	Request to Publish (Rescind NonPublication)
Missing Parts, Formal Papers	Drawings:sheets,figures
Response to Notice of Missing Parts & PTO Copy	Terminal Disclaimer
Assignment & Cover sheet ( pgs.)	☑ Postcard ☑ Total Number of Claims 31/3
Declaration & POA ( pgs.)	Checks
Amendment / Response	☐ Check No. 12020 Amount \$180.00
	Check No Amount \$
Examiner Interview Summary (pgs.)	Check No Amount _#
Other: copy of cited art     ∴	



# **FEE TRANSMITTAL FOR FY 2007**

(Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

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	ation No			<u>17,417</u>	
	Date		11/06	e C. Bjorn	
		ventor _		nd K. Moorthy	
		e		IId K. Moordiy	
Art Uni		et No.		P019X	
Attorne	by Docke	#L NO	3022.	F019X	
		Applic	ant clair	ns small entity status. See 37 C.F.R. §1.27.	
METH	OD OF	PAYME	NT (che	ck all that apply)	
X	_ Check	٠	_ Čred	it Card Money Order None C	Other (please identify)
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	<u></u>			itional fee(s) or underpayment of fee(s) during the pendency of thi	is application.
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				may become public. Credit card information should not be includ	ed on this form.
Provide	credit ca	rd inform	ation and	authorization on PTO-2038.	
FEE C	ALCUL	ΔΤΙΩΝ			
FEE C	ALCUL	ATION			
1. <u>BAS</u>	SIC FILI	NG, SE	ARCH,	AND EXAMINATION FEES	
Large E		Small I			
Fee	Fee	Fee	Fee	For Description	Econ Boid (\$)
Code	(\$)	Code	(\$)	Fee Description	Fees Paid (\$)
1011	300	2011	150	Utility application filing fee Utility search fee	<del></del>
1111	500	2111	250		<del></del>
1311	200	2311	100	Utility examination fee	
1012	200	2012	100	Design application filing fee	
1112	100	2112	50	Design search fee \( \septimes 430/215	
1312	130	2312	65	Design examination fee	
4042	200	2042	400	Plant filing for	
1013	200	2013	100	Plant filing fee Plant search fee  660/330	
1113	300	2113	150	Plant search lee 660/330	
1313	160	2313	80	Plant examination lee	
1004	300	2004	150	Reissue filing fee	
1114	500	2114	250	Reissue search fee > 1,400/700	
1314	600	2314	300	Reissue examination fee	
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1005	200	2005	100	Provisional application filing fee	
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				SUBTOTAL (1) \$	}
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### 2. EXCESS CLAIM FEES

Fee Descriptio

Large Entity Small Entity		<u>Entity</u>		
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent
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			Extra Claims	<u>Fee</u>	Fees Paid (\$)
Total Claims	31	31 or HP =	0	x	=0_
		I claims paid for, if gi	reater than 20		
Independent C	laims 3	3 or HP =	0	Χ	=0
HP = highest nu	mber of inde	pendent claims paid	I for, if greater than	3	
Multiple Deper	dent Clain	ns			=
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SUBTOTAL (2) \$ <u>0</u>

# 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R.  $\S1.52(e)$ ), the application size fee due is  $\S250$  ( $\S125$  for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR  $\S1.16(s)$ .

Total Sheets	Extra S	<u>Sheets</u>	Number of each additoinal 50 or fraction thereof		Fee from below	Fees paid (\$)
	- 100 =	/ 50 =	(round up to whole number)	X	\$	
Large Entity Fee Fee	Small Entity Fee Fee		tion: Application size fee for ea		_	

Fee	Fee	Fee	Fee	Fee Description: Application size fee for each additional group of 50 sheets
Code	(\$)	Code	(\$)	beyond initial 100 sheets (count spec & drawings except sequences & program listings):
4004		0004	405	11025
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ \_\_\_\_\_

#### **FEE CALCULATION (continued)** OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) **Small Entity** Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Surcharge - late filing fee or oath 1051 130 2051 65 Surcharge - late provisional filing fee or cover sheet 25 1052 50 2052 Non-English specification 1053 130 1053 130 1812 1812 2,520 For filing a request for ex parte reexamination 2,520 8,800 8,800 Request for inter parties reexamination 1813 1813 1804 920\* Requesting publication of SIR prior to Examiner action 1804 920\* 1,840\* 1805 1805 1.840\* Requesting publication of SIR after Examiner action 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1.020 2253 510 Extension for reply within third month 795 Extension for reply within fourth month 1254 1.590 2254 1,080 Extension for reply within fifth month 1255 2,160 2255 250 Notice of Appeal 1401 500 2401 1402 500 2402 250 Filing a brief in support of an appeal 1403 1,000 2403 500 Request for oral hearing 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 2452 250 Petition to revive – unavoidable 500 1453 1,500 2453 750 Petition to revive - unintentional 1501 1,400 2501 700 Utility issue fee (or reissue) 1502 2502 400 Design issue fee 800 1503 2503 550 Plant issue fee 1100 Petitions to the Commissioner (CFR §1.17(f) Group I) 1462 400 1462 400 1463 200 1463 200 Petitions to the Commissioner (CFR §1.17(g) Group II) Petitions to the Commissioner (CFR §1.17(h) Group III) 1464 130 1464 130 Processing fee under 37 CFR §1.17(q) 1807 50 1807 50 180.00 180 **Submission of Information Disclosure Stmt** 1806 180 1806 Recording each patent assignment per 8021 40 8021 40 property (times number of properties) 790 395 For filing a submission after final rejection 1809 2809 (see 37 CFR §1.129(a)) **Statutory Disclaimer** 1814 130 2814 65 For each additional invention to be examined 1810 790 2810 395 (see 37 CFR §1.129(b)) 395 1801 790 2801 Request for Continued Examination (RCE) 900 1802 900 Request for expedited examination of a design 1802 application 1504 300 1504 300 Publication fee for early, voluntary, or normal pub. 300 Publication fee for republication 1505 300 1505 130 Request for voluntary publication or republication 1803 130 1803 130 Processing fee under 37 CFR §1.17(i) (except provisionals) 1808 130 1808 1454 1,370 1454 1.370 Acceptance of unintentionally delayed claim for priority Other fee (specify) Other fee (specify) SUBTOTAL (4) \$180.00 \*Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Benjamin A. Kimes Date: 9/11/07 Signature: **Rea. Number:** 50,870 **Telephone Number:** 408-720-8300